# Identifying Autism from Resting-State fMRI Using Long Short-Term Memory Networks Nicha C. Dvornek, Pamela Ventola, Kevin A. Pelphrey, and James S. Duncan

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# Motivation: Derive objective biomarkers for autism spectrum disorder (ASD)

- ASD: Neurological developmental disorder
  - Prevalence in U.S.: 1 in 68 children
- Resting-state fMRI (rsfMRI) used to study pathophysiology
- High impact of imaging biomarkers on:
  - Understanding causes of ASD
  - Diagnosis
  - Design of therapies
  - Monitoring/predicting treatment outcomes



www.autismspeaks.org

# Standard approach: Classify ASD/typical control using rsfMRI connectivity



Popular approaches: Support Vector Machines Random Forest Ridge Regression

#### \*Biomarkers: pairwise connections important for classification

# **Challenge: Heterogeneity of ASD**

- Wide range of symptoms and severity
- Studies using small (*N*<100), homogeneous datasets:
  - + High accuracy (>70%) within sample
  - Poor generalization
- Studies using large, heterogeneous datasets:
  - + Better representation of population
  - Low accuracy (~60%), likely due to heterogeneity



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# Standard approach: Classify ASD/typical normal using rsfMRI connectivity



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# Proposed approach: Classify ASD/typical control directly from rsfMRI time-series



### \*Biomarkers: extracted networks from LSTM weights

#### Yale school of medicine



- Designed for modeling temporal data
  - LSTM cell takes data and previous cell information ("memory") as inputs
- 2 types of recurrent information
  - Hidden state (output)
  - Cell state helps learn long-term dependencies



### **Network Architecture**



# **Dataset and Preprocessing**

- Autism Brain Imaging Data Exchange (ABIDE) Dataset I
  - 539 ASD + 573 typical controls
  - Phenotypic + neuroimaging data (resting-state fMRI, structural MRI)
- Preprocessed by the Preprocessed Connectomes Project:
  - Connectome Computation System pipeline (1100 subjects)
  - Craddock 200 atlas (functional homogeneity)
- ROI mean time-series preprocessing
  - Normalized to percent signal change
  - Resample to 2 second interval



Craddock et al., Nature Methods 2013



### **Data Augmentation**

- Crop input time courses to fixed sequence length (T = 90) from random starting points
- 10 random crops / subject  $\rightarrow N = 11000$  samples



# **Experimental Methods**

- Implementation in Keras
  - Loss function: Binary cross-entropy
  - Optimizer: Adadelta
  - Dropout rate: 0.5
- Network variations tested
  - Removing data augmentation or dropout
  - # LSTM nodes: 8, 16, 32, 64
  - Using only LSTM output at final timestep
  - 2 LSTM layers



# **Algorithm Evaluation**

• 10-fold cross-validation, stratified across sites



85% Training



- Compare to previous studies trained on ABIDE rsfMRI
- Accuracy measures
  - Sequence accuracy
  - Subject accuracy: Average score of all sequences per subject
  - Difference from chance:
    - (Model accuracy) (Accuracy by random assignment)

### **Classification Accuracy**

[	Classification	Validation	Number	Mean $(SD)$	Difference	Mean (SD)	Difference	
	Method	Method	of	Sequence	from	Subject	from	
			Subjects	Accuracy (%)	Chance $(\%)$	Accuracy (%)	Chance (%)	
	Plitt et al. $[13]$	CV10	178	-	-	69.7	19.7	
	Chen et al. $[3]$	Train/Val	252	-	-	66	16	
	Abraham et al. [1]	CV10	871	-	-	66.9(2.7)	13.2	
	Nielsen et al. $[12]$	LOO	964	-	-	60.0	6.4	
	Ghiassian et al. [9]	Train/Val	1111	-	-	59.2	7.6	
	LSTM8	CV10	1100	65.6(4.1)	13.7	66.7(5.3)	14.8	
	LSTM16	CV10	1100	65.3 (4.8)	13.3	66.8(5.4)	14.9	
	LSTM32	CV10	1100	$66.8 \ (4.5)$	14.9	$68.5~(5.5)^{\dagger}$	16.6	
	LSTM64	CV10	1100	65.8(3.8)	13.9	$67.5 \ (4.4)^{\dagger}$	15.5	
	LSTM32_NoAug	CV10	1100	-	-	$61.4 (4.5)^*$	9.5	
	LSTM32_NoDrop	CV10	1100	59.7(2.3)	7.7	$61.8 (4.0)^*$	9.9	
	LSTM32_Last	CV10	1100	$\overline{62.2}$ (3.3)	10.3	$64.5 (4.5)^{\dagger}$	12.5	
	LSTM32x2	CV10	1100	66.3(4.2)	14.4	$67.5 \ (5.0)^{\dagger}$	15.5	

<sup>†</sup>Significant difference between sequence and subject accuracies \*Significant difference compared to LSTM32

# **Model Interpretation**

- Large weights  $W_l(n,r)$  denote atlas region r has strong influence on LSTM node n for layer l
- Create binary mask of regions with large weights (> Mean + 2xSD)
- Input mask of important regions into Neurosynth
  - Meta-analysis correlating 10,000 fMRI studies with 3000 descriptors



# Heavily weighted regions in one LSTM node encode potential brain networks



Pain, reward, anticipation, incentive



Faces, objects, word form, emotional, visual



Default mode, reward, listening, mental states



Listening, sounds, theory of mind, social

# **Conclusions and Future Work**

- Contributions
  - LSTM-based model for classifying ASD/typical control directly from rsfMRI time-series
  - Higher classification accuracy than previous methods using rsfMRI connectivity as inputs, tested on majority of ABIDE I dataset
  - Model weights interpreted as meaningful brain networks important for classification
- Future work
  - Use fMRI image sequence as input?
  - Incorporate demographic information
  - Combine structural MRI information

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